

PATENT  
Customer No. 22,852  
Attorney Docket No. 05552.1452

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Harald ALTHAUS ) Group Art Unit: 1653  
)  
Application No.: 10/612,162 ) Examiner: Not Yet Assigned  
)  
Filed: July 3, 2003 )  
)  
For: CARBOHYDRATE DEFICIENT )  
TRANSFERRIN (CDT)-SPECIFIC )  
ANTIBODIES, THEIR )  
PREPARATION AND USE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

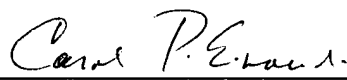
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 18, 2003

By:   
Carol P. Einaudi  
Reg. No. 32,220

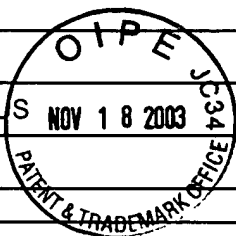
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	05552-1452	Appln. No.	10/612,162
Applicant	Harald ALTHAUS		
Filing Date	July 3, 2003	Group:	1653



## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
00/36418	06/22/00	WIPO			
99/00672	01/0799	WIPO			
93/06133	04/01/93	WIPO			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Trimble et al., "Anti-Peptide Antibodies to Epitopes Masked by the Carbohydrate Moieties in Transferrin," <i>Biochemical Society Transactions</i> , 26:S48 (1998).
Althaus et al., "Development and Evaluation of a New Carbohydrate-Deficient Transferrin (CDT)-Specific Monoclonal Antibody," <i>Clinical Chemistry</i> , 49(6):A113 (2003).

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce